

## AI-BASED FIELD-ORIENTED CONTROL FOR INDUCTION MOTORS

Elmehdi Benmalek, Marouane Rayyam, Ayoub Gege, Omar Ennasiri, Adil Ezzaidi

Mohammed V University in Rabat, Ecole Nationale Supérieure d'Arts et Métiers, Electronic Systems Sensors and Nanobiotechnologies, Rabat, Morocco

**Abstract.** The current article deals with the implementation of Reinforcement Learning based Field Oriented Control (FOC) for the induction motors (IM). It is pertinent to mention that although conventional controllers like PID are widely used in FOC induction, they are model-based and face problems such as parameter adjustment. PID controllers need to be tuned because of the approximations of the model, variations of the parameters during operation, and the external disturbances that are uncertain and unpredictable. RL is a machine learning approach that is model-free which can adapt to the variations and disturbances. Therefore, these controllers can be an excellent alternative to the conventional controllers. In this study, an RL-based controller was used to control the speed of the induction motor using the FOC and space vector modulation (SVM). Computational simulations were done using the MATLAB/SIMULINK to test the controllers' performance under different operating conditions. This study highlights the effectiveness of RL in optimizing IM control, offering potential benefits in various industrial and automation applications.

**Keywords:** induction motor, field-oriented control, NPC inverter, reinforcement learning, TD3 agent

### STEROWANIE ZORIENTOWANE POLOWO DLA SILNIKÓW INDUKCYJNYCH OPARTE NA SZTUCZNEJ INTELIGENCJI

**Streszczenie.** Niniejszy artykuł dotyczy implementacji uczenia ze wzmacnianiem (Reinforcement Learning – RL) opartego na sterowaniu polowym (FOC) dla silników indukcyjnych (IM). Należy wspomnieć, że chociaż konwencjonalne regulatory, takie jak PID, są szeroko stosowane w indukcji FOC, są one oparte na modelu i napotykają problemy, takie jak dostosowanie parametrów. Regulatory PID muszą być dostrajane ze względu na przybliżenia modelu, zmiany parametrów podczas pracy, oraz zewnętrzne zakłócenia, które są niepewne i nieprzewidywalne. RL to podejście oparte na uczeniu maszynowym, które jest wolne od modelu i może dostosowywać się do zmian i zakłóceń. Dlatego też regulatory te mogą być doskonałą alternatywą dla konwencjonalnych regulatorów. W niniejszym badaniu do sterowania prędkością silnika indukcyjnego wykorzystano sterownik oparty na RL, wykorzystujący FOC i modulację wektora przestrzennego (SVM). Symulacje obliczeniowe przeprowadzono przy użyciu MATLAB/SIMULINK w celu przetestowania wydajności sterowników w różnych warunkach pracy. Badanie to podkreśla skuteczność RL w optymalizacji sterowania IM, oferując potencjalne korzyści w różnych zastosowaniach przemysłowych i automatyzacji.

**Słowa kluczowe:** silnik indukcyjny, sterowanie polowe, przekształtnik NPC, uczenie ze wzmacnianiem, agent TD3

### Introduction

Owing to their dependability and affordability, induction motors are widely used in industries [9]. Nonetheless, it can become difficult to control them particularly with respect to torque and motor speed regulation. Vector control, also known as Field Oriented Control (FOC), was thus developed to overcome such difficulties. Proposed in the 1970s by Hasse [8] and Blaschke [3], it allows for independent torque and flux control, like in a separately excited DC machine. By representing motor quantities in vector format, it ensures good performance characteristics in both the steady state as well as during dynamic conditions with good transient response. With FOC, the algorithm of control effects the transformation to the synchronous reference frame in which all the variables are expressed as DC quantities, and simplifies the control. Although direct control of induction motors (IM) in industry is commonly employed, there are limitations such as noise interference, disturbances, non-linearities and load variations [18]. Use of Artificial intelligence (AI) for direct control could address these concerns precisely and effectively. Reinforcement learning involves training a system using trial-and-error processes so that it can make decisions by itself. By selecting actions that lead to good results while avoiding an action that leads to bad ones the system learns how to maximize rewards [19]. This approach deals effectively with complex systems having multiple variables which are not well controlled through conventional techniques. This machine learning method learns on its own how best optimize performance but requires prior learning phase and may be more complicated in terms of implementation [5].

Multilevel inverters (MLIs) provide numerous advantages over conventional two-level voltage source inverters. These benefits include reduced ratings for individual devices, minimized harmonic distortion in the output voltage waveform, lower common-mode voltage, decreased  $dv/dt$  stress on power electronic components, and operation at reduced switching frequencies while maintaining the same total harmonic distortion (THD) in the output voltage [1]. Despite these advantages, MLIs also introduce several challenges, such as reduced reliability, lower efficiency, increased control complexity, and difficulties in maintaining capacitor voltage balance [2]. The three main

topologies of multilevel converters are: Diode Clamped or Neutral Point Clamped, Flying Capacitor Multilevel and Cascaded H-Bridge (CHB) Multilevel [1].

The most commonly used modulation techniques for MLIs are carrier-based sinusoidal pulse-width modulation (SPWM) and space vector modulation (SVM). Of the two, SVM has several advantages, such as better utilization of the DC link voltage, more flexibility in designing the switching pattern, lower switching frequency for the power devices, and easy implementation in digital systems [1]. This makes SVM a favourite for many industrial applications. This approach, commonly referred to as SVM-FOC, helps mitigate high ripple levels despite its inherent complexity. The SVM-FOC algorithm employs linear PI controllers for torque and flux to calculate the reference control voltages [11]. Traditional mathematical models in terms of conventional analytical techniques are generally based on approximate assumptions and do not consider unmodeled dynamics. Furthermore, such models can be affected by parameter variations due to environmental conditions and/or external disturbances during operation. Consequently, linear control approaches, such as PI controllers, cannot meet the optimal performance expectations. Leveraging artificial intelligence methods to FOC of IM fed from space vector modulated converter holds the promise of improving system's performance [4].

The application of the Q-Learning algorithm to determine the optimal action for each state within the environment is introduced in [13]. In this approach, states are defined by quantized values of electromagnetic torque and motor speed, while actions are represented by magnetic current. Simulation results indicate that this method can reduce power loss by approximately 50% compared to the standard FOC motor driver when the motor operates under low loads. To attain high estimation accuracy and a compact model size, the authors have employed physically-inspired neural network structures derived from expert knowledge, rather than arbitrary topologies (a technique known as hybrid modelling) [14]. The key benefit of this method is that training the embedded neural networks requires only recorded torque measurements, without the need for additional flux measurements. Across the entire operating range, this approach achieves a root mean square torque estimation error of just 1.0% relative to the nominal torque. In contrast, using



a standard open-loop current model to observe magnetic flux results in a normalized root mean square torque error of 4.6%. The design of a non-linear feedback controller for an induction motor utilizing a reinforcement learning agent is presented in [10]. The proposed controller operates using only the reference speed and the error (the difference between the reference speed and the actual output) as control inputs to generate the necessary torque, ensuring the rotor speed aligns with the reference speed. The reinforcement learning-based speed control algorithm is implemented, and the closed-loop system is thoroughly analysed. The results demonstrate that the proposed controller effectively manages the outer loop, which controls the induction motor's speed by taking various actions based on the given state. The performance of the proposed control schemes is validated under different operating conditions through simulation results. A method for generating a parameter lookup table that can achieve optimal torque across a wide range of currents and speeds, even when current commands are not set accurately is introduced in [12]. Utilizing the motor's testing data, this method employs a reinforcement learning algorithm to iteratively create the parameter lookup tables. Experimental results indicate that the proposed method can learn the appropriate parameters from operational data to produce optimal torque. Comparative studies reveal that this method can generate 5%–25% more torque than traditional model-based parameter estimation methods across various currents and speeds. Additionally, the proposed method features faster convergence and higher identification resolution compared to many conventional search-based methods.

The aim of this paper is to design and simulate FOC for the IM fed from space vector modulated inverter using reinforcement learning. To evaluate the performances of the proposed method, we simulating different conditions: adding a load, changing the speed and then inverting it to evaluate how well the system adapt to different real-world scenarios. The simulations were done using MATLAB/SIMULINK and Reinforcement learning toolbox.

## 1. Space vector modulation

In the FOC strategy, SPWM is replaced by SVM for selecting voltage vectors. This approach maintains a constant switching frequency, effectively addressing the issue of high torque and phase current ripples—a key limitation of conventional FOC. SVM, first introduced in the late 1980s as an alternative to basic PWM, has since undergone extensive development in both theory and practical implementation. SVM is based on the space vector representation of the voltage output from the inverter. Unlike conventional PWM, it does not use separate modulators for each phase. Instead, reference voltages are expressed as a space voltage vector, representing voltage components in the complex plane. The core principle of SVM involves predicting the inverter voltage vector by projecting the reference vector onto the two adjacent vectors corresponding to two active switching states [17].

Based on inverter level, the switching vectors form a hexagonal diagram divided into six sectors, each spanning 60°, as illustrated in Figure 1. SVM offers several advantages, including reduced ripple, lower THD, and minimized switching losses [15].

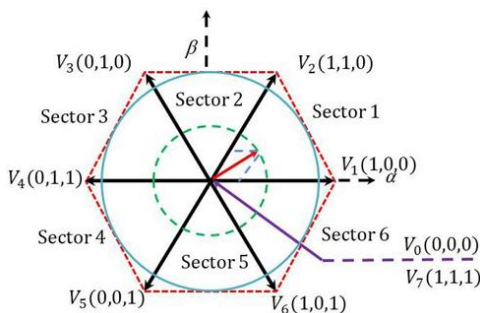


Fig. 1. Diagram of voltage space vector

The application time for each vector is determined through vector calculations, with any remaining time within the switching period allocated to the null vector. For instance, when the reference voltage lies in sector 1, as illustrated in Figure 2, it can be synthesized by combining the vectors  $V_1$ ,  $V_2$  and  $V_0$  (the zero vector) [7].

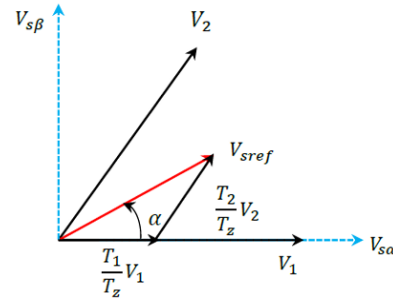


Fig. 2. Reference vector as a combination of adjacent vectors at sector 1

The volt-second balance principle for sector 1 can be represented as:

$$V_s^* T_z = V_1 T_1 + V_2 T_2 + V_0 T_0 \quad (1)$$

$$T_z = T_1 + T_2 + T_0 \quad (2)$$

The application times for the voltage vectors,  $T_1$ ,  $T_2$ , and  $T_0$ , correspond to their respective durations within the sampling period  $T_z$ . The values of  $T_1$  and  $T_2$ , associated with the voltage vectors, are determined through straightforward projections.

$$T_1 = \frac{T_z}{2V_{dc}} (\sqrt{6}V_{s\beta} - \sqrt{2}V_{s\alpha}) \quad (3)$$

$$T_2 = \frac{\sqrt{2}T_z}{V_{dc}} V_{s\alpha} \quad (4)$$

Using  $V_{dc}$ , the DC bus voltage, the switching times (duty cycles) can be calculated as follows [21, 22]. Figure 3 illustrates this process, while Table 1 provides a summary of the switching times (outputs) for each sector.

$$T_{a_{on}} = \frac{T_z - T_1 - T_2}{2} \quad (5)$$

$$T_{b_{on}} = T_{a_{on}} + T_1 \quad (6)$$

$$T_{c_{on}} = T_{b_{on}} + T_2 \quad (7)$$

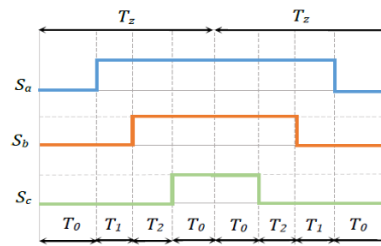


Fig. 3. Switching times of sector 1

Table 1. Switching times for each sector

Sector	1	2	3	4	5	6
$S_a$	$T_{b_{on}}$	$T_{a_{on}}$	$T_{a_{on}}$	$T_{c_{on}}$	$T_{b_{on}}$	$T_{c_{on}}$
$S_b$	$T_{a_{on}}$	$T_{c_{on}}$	$T_{b_{on}}$	$T_{b_{on}}$	$T_{c_{on}}$	$T_{a_{on}}$
$S_c$	$T_{c_{on}}$	$T_{b_{on}}$	$T_{c_{on}}$	$T_{a_{on}}$	$T_{a_{on}}$	$T_{b_{on}}$

## 2. Modeling of the induction motor

The equivalent IM model is a simplified representation of the motor using mathematical equations [16]. These equations were used to describe motor's behavior in relation to current, voltage, torque and speed. This model is commonly employed in simulation studies and designing control systems [6]. The parameters of these models are usually obtained from experimental measurements or provided by motor manufacturers. Among these parameters there are stator phase resistance  $R_s$  and rotor phase resistance  $R_r$ , stator phase inductance  $L_s$  and rotor phase inductance  $L_r$ , the mutual inductance  $L_m$  and the electrical time constant  $\tau$ .



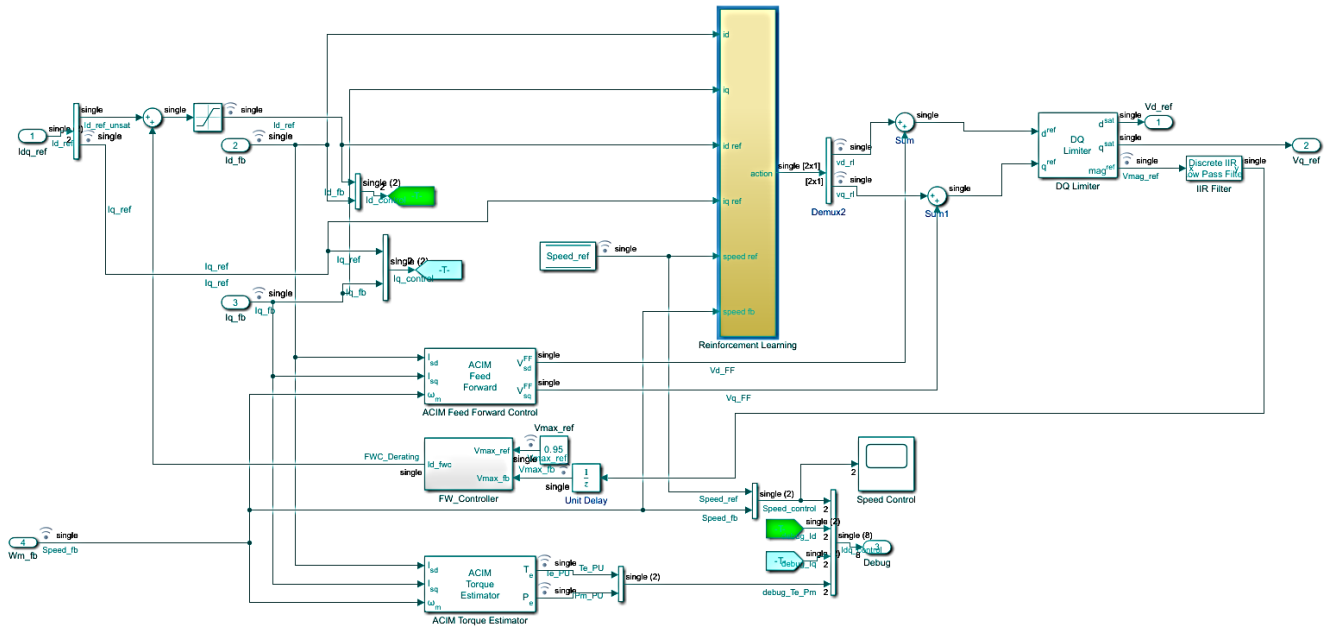


Fig. 5. Control loop using the RL

### 3.3. State observations

This block represents data gathered from the environment by an agent, which gives information on the behaviour of the system usually in form of data or variables that are relevant to the agents decision-making process. For this step, we will use our Simulink diagram to create our own state observer. In our case, IM speed will be included as well as integration of  $I_d$  and  $I_q$  current errors in order to have our state observer.

### 3.4. Reward function

In this step, our function assigns a numerical value to each action taken by an agent. It guides the agent towards desired behaviors by favoring beneficial actions and penalizing undesirable actions. The reward function utilized is as follows:

$$r_t = - (5i_d^2 error + 5i_q^2 error + 0.1 \sum_i (u_{t-1}^i)^2) - 100d \quad (15)$$

with the parameters:

$(u_{t-1}^i)$  – previous step actions,

$d$  – an indicator that equals 1 if the simulation is finished so early.

We observe that the reward is determined based on the quadratic reward penalty, which penalizes the deviation from the optimal control. The block diagram in Simulink for the reward block is presented in Fig. 6.

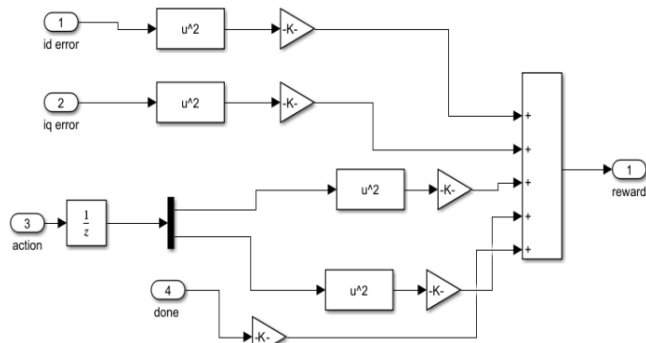


Fig. 6. Block diagram of the reward function

### 3.5. Creation of RL Agent

Before the training of the agent, it is necessary to configure the parameters of our algorithm utilizing deep neural networks, commonly referred to as deep reinforcement learning (DRL).

We choose hyperparameters for Twin Delayed Deep Deterministic Policy Gradient (TD3) agent such as learning rate, degradation factor, exploration parameters. These parameters have much effect on how the agent learns and explores its environment with aim to perfecting its action policy. Fig. 7 represents the block diagram of the agent, as the Fig. 8 shows different options used for its configuration.

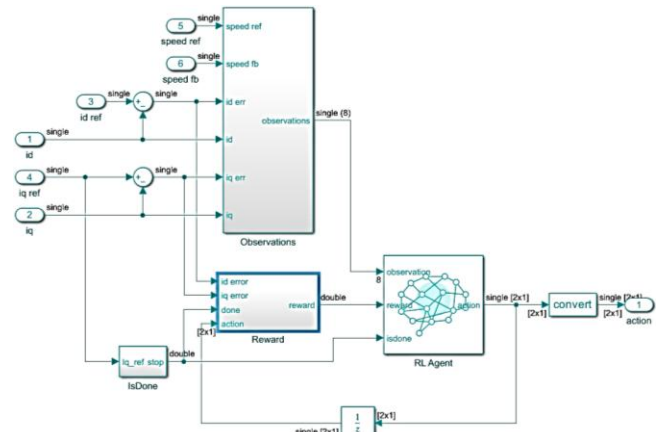


Fig. 7. RL Agent block diagram

```
agentOpts =
    rlTD3AgentOptions with properties:
        ExplorationModel: [1x1 rl.option.GaussianActionNoise]
        TargetPolicySmoothModel: [1x1 rl.option.GaussianActionNoise]
        PolicyUpdateFrequency: 2
        ActorOptimizerOptions: [1x1 rl.option.rloptimizerOptions]
        CriticOptimizerOptions: [1x2 rl.option.rloptimizerOptions]
        TargetSmoothFactor: 0.0050
        TargetUpdateFrequency: 10
        ResetExperienceBufferBeforeTraining: 1
        SequenceLength: 1
        MiniBatchSize: 1012
        NumStepsToLookAhead: 1
        ExperienceBufferLength: 2000000
        SampleTime: 0.0565
        DiscountFactor: 0.9950
        InfoToSave: [1x1 struct]
```

Fig. 8. TD3 Agent Options

The first step is to define the observations that can be made by an agent from its environment and also the actions it can do. This configuration shows how far the agent can go in interacting with its environment. We construct two neural networks that will be used as the actor (decision making) as well as critic (evaluating actions) for this interaction. The critic network has two entry points (for observations and actions) and outputs estimation of Q function. The actor network takes input from observations and produces output on what action to take. The learning rate for actors is 1e-3, gradient threshold equals 1 while L2 regularization factor is 1e-3 for critics.

### 4. Results

Agent learning step involves training it using TD3 algorithm in RL framework. The agent learns to make decisions based on an actor-critic model through maximizing accumulated rewards while refining its action policy. Here are the training parameters: Each training session is a set of 1000 episodes, each consisting of 5000 steps, and the mini-batch size is 512. To encourage long term gains we use a discount factor of 0.995. Our training will stop when the evaluation average cumulative reward surpasses -75. This means that the agent can follow reference speeds. The learning graph is presented in Fig. 9.

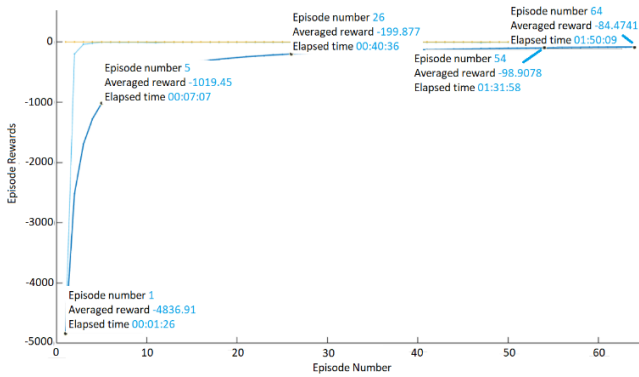


Fig. 9. RL training progression

In Fig. 10, we tested our model before it finishes learning. The performance for our IM control model is shown which demonstrates its current state as not fully optimized. However, these results provide insights about the capabilities and behaviour of this model. The performance of the RL based IM control during training, the agent learned to follow the reference but still did not capture all dynamics, especially when the speed is inverted.

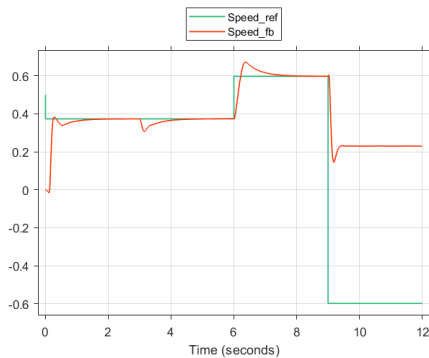


Fig. 10. Speed response while RL training

The performance of the RL based IM control is shown in Fig. 11. the agent learned to follow the reference even when we inverted the speed, meaning the agent learns the system dynamics with time. At 3-seconds mark, a load was introduced thus activating RL algorithm to adapt its motor control strategies to meet higher demands in terms of power supply needed by loads put on it hence requiring more power inputs to keep track with load changes. By the 6-second mark, the model increase speed reacting to the demand. Furthermore, at the 9-second mark, the algorithm followed the reference when we inverted the motor speed, indicative of its robustness in handling complex control scenarios. The tracking error remains zero in the 3 applied disturbances. The motor delay time in the start is 0.6 second, 1 second when the extra load being added. When increasing and then decreasing speed of the motor, the settling time is 0.5 second and 1 second respectively. It should be noted that overshoot percentage is 5% for motor initiation, 13% during load application, for speed increase 4%, while speed reversal 5%. When it comes to the disturbance resilience, our model consistently returns back to the reference state after disturbances, proving our model robustness. This evaluation highlights the effectiveness

of RL in optimizing IM control, offering potential benefits in various industrial and automation applications.

In Fig. 12, we present the direct and quadrature currents  $I_d$  and  $I_q$ , which are essential parameters for assessing performance of our IM control system. These currents help us understand how well our motor operates as well as its efficiency. By monitoring these quantities, we can evaluate how effectively the system manages torque and flux of a motor ensuring an optimal operating condition. Visualizing the  $I_d$  and  $I_q$ , provide important information regarding whether our control strategy is effective and whether the motor works stably and efficiently or not. Our model track both currents when a load is added and speed increased, but there is a brief disturbance of the direct current during reversal of speed.

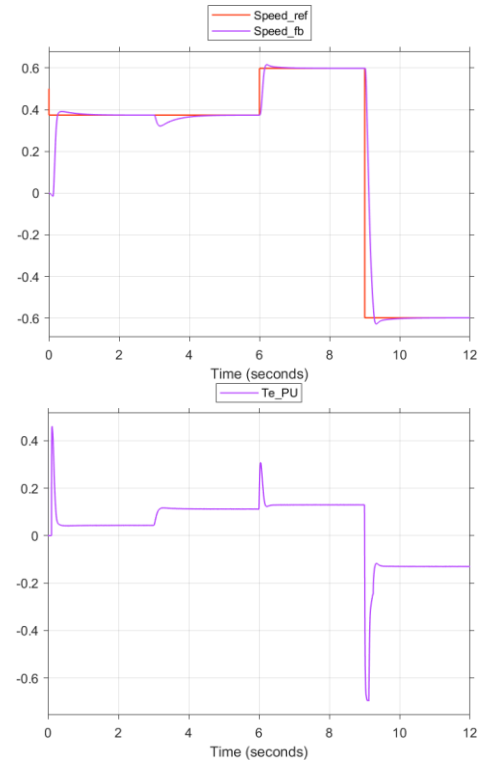


Fig. 11. Speed and torque response

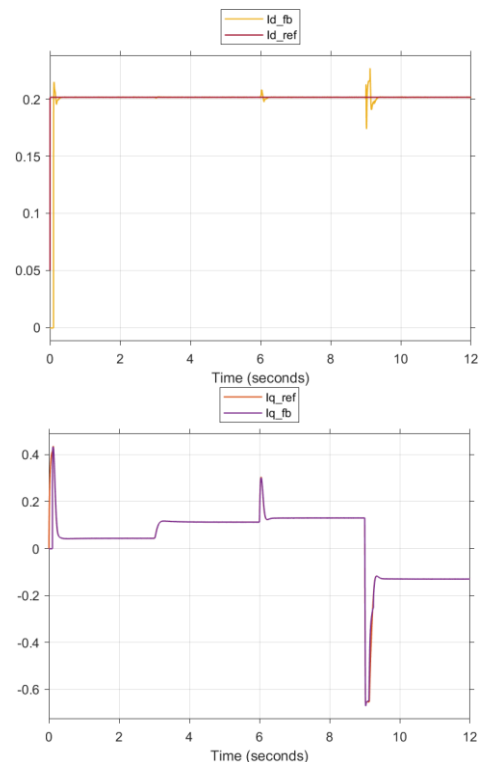


Fig. 12. Courants  $I_d$  and  $I_q$  responses

Figure 13 compares voltage  $V_a$  against its homologue obtained through SVM at the 3-second, 6-second, and 9-second marks. This enables us to have a clear view on how effective this particular modulation technique controls the motor. Through comparing  $V_a$  which is reference voltage with actual voltage generated via SVM, this can provide us with insights regarding accuracy for modulation and closeness of system in tracking the required voltage waveform. Assessment of the interconnection between these two signals helps in evaluating SVM algorithm efficacy as well as assessing its impact on motor efficiency and dynamic response.

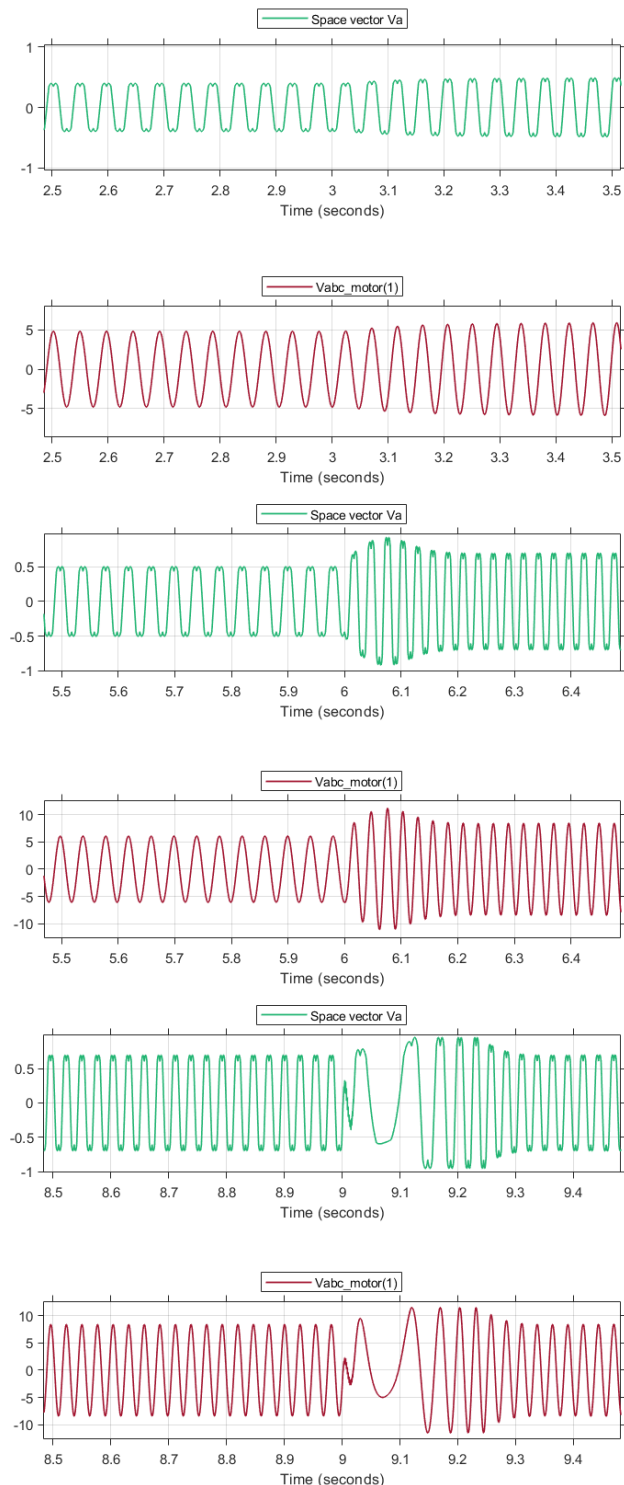


Fig. 13. Comparison of  $V_a$  (in red) and its homologue in space vector modulation (in green)

## 5. Discussion

RL has several advantages as opposed to traditional control methods. One major advantage is its adaptability to the dynamic and uncertain environment without requiring a precise model of the system dynamics. RL's ability to learn from experience enables it to keep improving continuously over time, thus making it highly relevant in changing environments or tasks. However, when compared with conventional control approaches, RL algorithms require a large amount of data and exploration for learning effective policies. In some cases, this can be inefficient especially in systems where data collection is expensive or time-consuming as opposed to classical control approaches that might involve mathematical models or heuristics. The RL can be computationally demanding, requiring considerable computational resources and training time particularly in high-dimensional or continuous action spaces. Moreover, these RL models are sensitive to uncertainties in their environment and may fail at generalizing over unseen scenarios or noisy data. They also need to balance between exploration (trying new actions in order to find better policies) and exploitation (doing what we already know is best). In safety-critical applications, RL algorithms might exhibit unstable or unsafe behavior during learning, which is problematic.

A range of factors must be taken into account when implementing RL such as reward design, hyperparameter tuning, environment setup and training infrastructure. Nonetheless, RL holds considerable promise in domains with complex dynamics but its limitations must be addressed. Therefore, addressing these limitations and developing more robust RL algorithms are still active research areas. The full potential of RL in optimizing IM control in industrial and automation applications can be realized if scalable RL algorithms and methodologies tailored to electrical machines are developed by researchers involved in this area.

## 6. Conclusions

This paper aims to investigate controlling of an IM through a space vector modulated inverter using RL. This AI technique can adapt well to changing environments and optimize over time, which suggest that the model has potential advantages in terms of adaptation and performance optimization for more complex dynamic systems compared to vector control with PI controller. This study highlights the effectiveness of RL in optimizing IM control, offering potential benefits in various industrial and automation applications. Finally, the selection between these methods will have to be based on specific application requirements and objectives. Our paper detailed the implementation of RL in motor control thus enabling future work in regard to sophisticated applications for controlling IM.

## Statements and declarations

The authors declare no competing interests.

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**Ph.D. Elmehdi Benmalek**

e-mail: elmehdi.benmalek@um5s.net.ma

Received his Ph.D. from Mohammed V University in Rabat in 2019.  
His research interests include signal processing, embedded systems and machine learning.



<https://orcid.org/0000-0003-1078-1421>

**Prof. Marouane Rayyam**

e-mail: 03malaho06@gmail.com

He is a professor in Mohammed V University in Rabat where he received his Ph.D. in 2021.  
His research interests include motor drive, control systems and power electronics.



<https://orcid.org/0000-0002-7071-8953>

**M.Sc. Ayoub Gege**

e-mail: khokhada@gmail.com

Received his Master degree from Mohammed V University in Rabat in 2023.  
His research interests include motor drive and control systems.



<https://orcid.org/0009-0009-7757-1964>

**M.Sc. Omar Ennasiri**

e-mail: khobzkarm13@gmail.com

He is a Ph.D. student in Mohammed V University in Rabat.  
His research interests include energy harvesting, IoT and machine learning.



<https://orcid.org/0009-0007-3656-101X>

**M.Sc. Adil Ezzaidi**

e-mail: khobzkarm@gmail.com

He is a Ph.D. student in Mohammed V University in Rabat.  
His research interests include signal processing, embedded systems and machine learning.



<https://orcid.org/0009-0004-4960-0784>